SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-0104 OMB Number:

Estimated average burden hours per response: 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Data Collective IV, L.P.		2. Date of Event Requiring Statement (Month/Day/Year) 11/10/2021		3. Issuer Name and Ticker or Trading Symbol <u>Embark Technology, Inc.</u> [EMBK]						
(Last) (First) (Middle) 270 UNIVERSITY AVENUE				4. Relationship of Reporting Issuer (Check all applicable) Director	Person(s) to		 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing 			
(Street) PALO ALTO	94301			Officer (give title below)		Other (specify below)		(Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person		
(City) (State)	(Zip)									
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)			I	Amount of Securities Beneficially Owned (Instr.) (D) or Iu (I) (Instr		Direct Own ndirect		ature of Indirect Beneficial Iership (Instr. 5)		
Class A Common Stock			63,720,154		I S		See Footnote ⁽¹⁾			
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
-		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
		Date Exercisable	Expiratior Date	Title	Amount or Number of Shares	Derivative		Direct (D) or Indirect (I) (Instr. 5)	5)	
1. Name and Address of Reporting Person [*] Data Collective IV, L.P.										
(Last) (First) (Middle) 270 UNIVERSITY AVENUE										
(Street) PALO ALTO CA 94301		_								
(City) (State)	City) (State) (Zip)									
1. Name and Address of Reporting Person [*] Data Collective IV GP, LLC										
(Last) (First) (Middle) 270 UNIVERSITY AVENUE										
(Street) PALO ALTO CA 94107		_								
(City) (State) (Zip)										
1. Name and Address of Reporting Person [*] Ocko Matthew										

(Last) 270 UNIVERSI	(First) TY AVENUE	(Middle)						
(Street) PALO ALTO	СА	94301						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person [*] Bogue Zachary								
(Last)	(First)	(Middle)						
270 UNIVERSITY AVENUE								
(Street)								
PALO ALTO	CA	94301						
(City)	(State)	(Zip)						

Explanation of Responses:

1. These securities are held by Data Collective IV, L.P. ("DCVC IV"). Data Collective IV GP, LLC ("DCVC IV GP") is the general partner of DCVC IV and has sole voting and dispositive power with regard to the securities held by DCVC IV. The managing members of DCVC IV GP are Matthew Ocko and Zachary Bogue. Matthew Ocko and Zachary Bogue share voting and dispositive power with respect to the securities held by DCVC IV. Each of the Reporting Persons disclaims the existence of a "group" and disclaims beneficial ownership of such securities and this report shall not be deemed an admission that it is the beneficial owner of such securities, except to the extent of such person's indirect pecuniary interest therein, if any.

Remarks:

Exhibit 24 - Power of Attorney.

<u>Siddhartha Venkatesan,</u> <u>Attorney-in-fact for Data</u> <u>Collective IV, L.P.</u>	<u>11/18/2021</u>
<u>Siddhartha Venkatesan,</u> <u>Attorney-in-fact for Data</u> <u>Collective IV GP, LLC</u>	<u>11/18/2021</u>
<u>Siddhartha Venkatesan,</u> <u>Attorney-in-fact for</u> <u>Matthew Ocko</u>	<u>11/18/2021</u>
<u>Siddhartha Venkatesan,</u> <u>Attorney-in-fact for</u> <u>Zachary Bogue</u>	<u>11/18/2021</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

With respect to holdings of and transactions in securities issued by Embark Technology, Inc. (the "*Company*"), the undersigned hereby constitutes and appoints the individuals named on <u>Schedule A</u> attached hereto and as may be amended from time to time, or any of them signing singly, with full power of substitution and resubstitution, to act as the undersigned's true and lawful attorney-in-fact to:

- 1. execute for and on behalf of the undersigned, Schedules 13D and 13G in accordance with Section 13 of the Securities Exchange Act of 1934, as amended (the *"Exchange Act"*), and the rules thereunder, and Forms 3, 4, and 5 in accordance with Section 16 of the Exchange Act and the rules thereunder;
- 2. do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Schedule 13D or 13G or Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such schedule or form with the SEC and any stock exchange or similar authority; and
- 3. take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorneyin-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorneyfact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution and resubstitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorneys-in-fact substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted.

The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 13 and Section 16 of the Exchange Act.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Schedule 13D and 13G and Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 14th day of November, 2021.

Data Collective IV, L.P.

By: Data Collective IV GP, LLC Its: General Partner

By: <u>/s/ Zachary Bogue</u> Name: Zachary Bogue Title: Managing Member

Data Collective IV GP, LLC

By: <u>/s/ Zachary Bogue</u> Name: Zachary Bogue Title: Managing Member

/s/ Matthew Ocko Matthew Ocko

/s/ Zachary Bogue Zachary Bogue

<u>Schedule A</u>

Individuals Appointed as Attorney-in-Fact with Full Power of Substitution and Resubstitution

1. Siddhartha Venkatesan

2. Richard Hawwa