SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

OMB APPROVAL

3235-OMB Number: 0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* 2. Date of Event Grady Patrick W 2. Date of Event 1. Name and Address of Reporting Person* 1. Name and Address of Reporting Person* 1. Name and Address of Reporting Person* 1. Name and Address of Event Requiring Statement (Month/Day/Year) 11/10/2021		3. Issuer Name and Ticker or Trading Symbol <u>Embark Technology, Inc.</u> [EMBK]					
(Last) (First) (Middle) 2800 SAND HILL RD #101	(First) (Middle)		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner		 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing 		
(Street) MENLO PARK CA 94025	-	Officer (give title below)	Other (below)	specify (C	theck Applicable X Form filed Person	e Line) by One Reporting by More than One	
(City) (State) (Zip)							
Та	able I - Non-Deriv	ative Securities Benefic	cially Ov	vned			
1. Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or In (I) (Instr	oirect Ow direct	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Class A Common Stock		18,679,330	I		equoia Capital U.S. Growth 'und VII, L.P. ⁽¹⁾		
Class A Common Stock		1,106,850	I		uoia Capital U.S. Growth VII cipals Fund, L.P. ⁽¹⁾		
Class A Common Stock		25,631,605	I		juoia Capital nd XV, L.P. ⁽¹⁾	oia Capital U.S. Venture XV, L.P. ⁽¹⁾	
Class A Common Stock		1,542,608	I		equoia Capital U.S. Venture artners Fund XV (Q), L.P. ⁽¹⁾		
Class A Common Stock		554,099	I			equoia Capital U.S. Venture Irtners Fund XV, L.P. ⁽¹⁾	
Class A Common Stock		5,629,646			equoia Capital U.S. Venture XV incipals Fund, L.P. ⁽¹⁾		
Class A Common Stock		4,528,282	I Se		Gequoia Grove II, LLC ⁽²⁾		
Class A Common Stock		742,497	I	Est	tate Planning Vehicle		
(e.g		ive Securities Beneficia rants, options, converti					
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable a Expiration Date (Month/Day/Year)	and 3. Title and Amount of S Underlying Derivative Se (Instr. 4)		4. Conversion or Exercise	cise Form: f Direct (D) ive or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Expira Exercisable Date	tion Title	Amount or Number of Shares	Price of Derivative Security			

Explanation of Responses:

1. The Reporting Person is a director and stockholder of SC US (TTGP), Ltd., which is (a) the general partner of SC U.S. Growth VII Management, L.P., which is the general partner of Sequoia Capital U.S. Growth Fund VII, L.P. and Sequoia Capital U.S. Growth VII Principals Fund, L.P. ("the GFVII Funds"), and (b) the general partner of SC U.S. Venture XV Management, L.P., which is the general partner of Sequoia Capital U.S. Venture Su Management, L.P., which is the general partner of Sequoia Capital U.S. Venture XV Management, L.P., which is the general partner of Sequoia Capital U.S. Venture Su Management, L.P., which is the general partner of Sequoia Capital U.S. Venture Su Management, L.P., which is the general partner of Sequoia Capital U.S. Venture Su Management, L.P., which is the general partner of Sequoia Capital U.S. Venture Fund XV, L.P., Sequoia Capital U.S. Venture Partners Fund XV (Q), L.P. ("the SC XV Funds"). The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

2. The Reporting Person is a member of Sequoia Grove II, LLC. The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purposes.

Remarks:

Exhibit 24.1 - Power of Attorney

Attorney-in-Fact for

Patrick W. Grady

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.