SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
Estimated average but	rden
hours per response:	0.5

Check this box if Section 16. Form obligations may of Instruction 1(b).		STAT		l pursuar	t to Section 16(a) of	OMB Number: 3235-0287 Estimated average burden hours per response: 0.5									
1. Name and Address of Reporting Person* Venkatesan Siddhartha (Last) (First) (Middle) C/O EMBARK TECHNOLOGY, INC. 424 TOWNSEND STREET					tion 30(h) of the Inv r Name and Ticker ark Technolog of Earliest Transac 2022	or Trac <u>gy, In</u>	ling Sy <u>C.</u> []	/mbol EMBK]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below) Chief Legal Officer					
(Street) SAN FRANCISCO	CA (State)	94107 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indi Line) X	· ·				
		Table I - Noi	1-Deriva	ative S	ecurities Acqu	uired,	Disp	oosed of, o	r Bene	ficially	Owned				
Date			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities A Disposed Of (5. Amount of Securities Beneficially Owned Follow Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership	
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			(Instr. 4)	
Class A Common	n Stock		04/05	/2022		М		335,588	Α	\$ <mark>0</mark>	335,588	8	D		
Class A Common Stock 05/0			05/05	/2022		М		27,965	A	\$ <mark>0</mark>	363,553	3	D		
Class A Common Stock 06/05			06/05	/2022		М		27,966	A	\$ <mark>0</mark>	391,519	9	D		
					curities Acqui			,			wned				

(e.g., puis, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Deri Sec Acq or D of (I	. Number of berivative courries (Month/Day/Year) ccquired (A) r Disposed f (D) (Instr. , 4 and 5)		7. Title an of Securit Underlyin Derivative (Instr. 3 ar	ies g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title Amount or Number of Shares			Transaction(s) (Instr. 4)		
Restricted Stock Units	(1)	04/05/2022		М			335,588	(2)	(2)	Class A Common Stock	335,588	\$0	1,006,765	D	
Restricted Stock Units	(1)	05/05/2022		М			27,965	(2)	(2)	Class A Common Stock	27,965	\$0	978,800	D	
Restricted Stock Units	(1)	06/05/2022		М			27,966	(2)	(2)	Class A Common Stock	27,966	\$0	950,834	D	

Explanation of Responses:

1. Each Restricted Stock Unit (the "RSU") represents a contingent right to receive one share of Class A Common Stock.

2. The RSUs will vest with respect to 25% of the underlying shares on April 5, 2022, and the remaining will vest in 36 substantially equal monthly installments thereafter, subject to continued employment through each applicable vesting date. The RSUs have no expiration date.

Remarks:

/s/ Siddhartha Venkatesan

** Signature of Reporting Person

06/29/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.